

### **Announcement Summary**

# **Entity name**

CARNEGIE CLEAN ENERGY LIMITED

#### Date of this announcement

Wednesday October 13, 2021

## The +securities the subject of this notification are:

♥ +Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

Total number of +securities to be issued/transferred

ASX +security code	Security description	Total number of +securities to be issued/transferred	Issue date
New class - code to be confirmed	Unlisted Options	150,000,000	13/10/2021

Refer to next page for full details of the announcement



### Part 1 - Entity and announcement details

## 1.1 Name of entity

CARNEGIE CLEAN ENERGY LIMITED

We (the entity named above) give notice of the issue, conversion or payment up of the following unquoted +securities.

### 1.2 Registered number type

Registration number

ABN

69009237736

#### 1.3 ASX issuer code

CCE

### 1.4 The announcement is

☑ New announcement

#### 1.5 Date of this announcement

13/10/2021



#### Part 2 - Issue details

- 2.1 The +securities the subject of this notification are:
- € +Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX
- 2.2a This notification is given in relation to an issue of +securities in a class which is not quoted on ASX and which:

☑ does not have an existing ASX security code ("new class")



Part 3C - number and type of +securities the subject of this notification (new class) where issue has not previously been notified to ASX in an Appendix 3B

ASX +security code

+Security description

New class - code to be confirmed

Unlisted Options

+Security type

ISIN code

**Options** 

Date the +securities the subject of this notification were issued

13/10/2021

Will all the +securities issued in this class rank equally in all respects from their issue date?

Were any of the +securities issued to +key management personnel (KMP) or an +associate? 

✓ Yes

Provide details of the KMP or +associates being issued +securities.

Name of KMP Name of registered holder Number of +securities

Jonathan Fievez | Paula Fievez <The Regeneration A/C> | 150,000,000

Have you received confirmation from ASX that the terms of the +securities are appropriate and equitable under listing rule 6.1?

☑ No

Please provide a URL link for a document lodged with ASX setting out the material terms of the +securities being issued.

https://www.asx.com.au/asxpdf/20211013/pdf/451m8z5pzxq083.pdf

#### **Options Details**

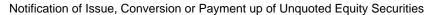
**+Security currency** Exercise price Expiry date
AUD - Australian Dollar AUD 0.00360000 13/10/2024

Details of the existing class of +security that will be issued upon exercise or conversion of this new class of company option

Other

## Description

Ordinary Fully Paid Shares





Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms

https://www.carnegiece.com/wp-content/uploads/2020/11/200721-CCE-Employee-Incentive-Plan-1.pdf

Any other information the entity wishes to provide about the +securities the subject of this notification

Issue details

Number of +securities

150,000,000



#### Part 4 - +Securities on issue

Following the issue, conversion or payment up of the +securities the subject of this application, the +securities of the entity will comprise:

(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)

## 4.1 Quoted +Securities (Total number of each +class of +securities quoted)

ASX +security code and description	Total number of +securities on issue
CCE : ORDINARY FULLY PAID	14,902,573,710

#### 4.2 Unquoted +Securities (Total number of each +class of +securities issued but not quoted on ASX)

ASX +security code and description	Total number of +securities on issue
CCEAQ : OPTION EXPIRING 03-FEB-2024 EX \$0.0015	520,000,000
CCEAK : OPTION EXPIRING 8-FEB-2023 EX 6C	35,000,000
CCEAO : OPTION EXPIRING 20-JUL-2022 EX 0.2C	175,500,000
CCEAR : OPTION EXPIRING 24-FEB-2024 EX \$0.0015	600,000,000
CCEAP : OPTION EXPIRING 12-JAN-2024 EX \$0.0015	200,000,000
CCEAM : OPTION EXPIRING 28-OCT-2022 EX 0.15C	1,400,000,000
CCEAN: OPTION EXPIRING 28-OCT-2024 EX 0.125C	250,000,000
CCEAU : OPTION EXPIRING 15-SEP-2023 EX \$0.0036	16,000,000
CCEAS: OPTION EXPIRING 25-NOV-2022 EX \$0.003	85,000,000
CCEAT : OPTION EXPIRING 24-MAR-2024 EX \$0.0015	860,000,000
New class - code to be confirmed : Unlisted Options	150,000,000



## Part 5 - Other Listing Rule requirements

- 5.1 Were the +securities issued under an exception in Listing Rule 7.2 and therefore the issue did not need any security holder approval under Listing Rule 7.1? 
  ☑ Yes
- 5.1a Select the number of the applicable exception in Listing Rule 7.2

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