



Announcement Summary

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**Entity name**

CARNEGIE CLEAN ENERGY LIMITED

**Date of this announcement**

Wednesday October 13, 2021

**The +securities the subject of this notification are:**

+Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

**Total number of +securities to be issued/transferred**

<b>ASX +security code</b>	<b>Security description</b>	<b>Total number of +securities to be issued/transferred</b>	<b>Issue date</b>
New class - code to be confirmed	Unlisted Options	150,000,000	13/10/2021

Refer to next page for full details of the announcement



Part 1 - Entity and announcement details

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**1.1 Name of entity**

CARNEGIE CLEAN ENERGY LIMITED

We (the entity named above) give notice of the issue, conversion or payment up of the following unquoted +securities.

**1.2 Registered number type**

ABN

**Registration number**

69009237736

**1.3 ASX issuer code**

CCE

**1.4 The announcement is**

New announcement

**1.5 Date of this announcement**

13/10/2021



Part 2 - Issue details

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**2.1 The +securities the subject of this notification are:**

+Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

**2.2a This notification is given in relation to an issue of +securities in a class which is not quoted on ASX and which:**

does not have an existing ASX security code ("new class")



Part 3C - number and type of +securities the subject of this notification (new class) where issue has not previously been notified to ASX in an Appendix 3B

**ASX +security code**

New class - code to be confirmed

**+Security description**

Unlisted Options

**+Security type**

Options

**ISIN code****Date the +securities the subject of this notification were issued**

13/10/2021

**Will all the +securities issued in this class rank equally in all respects from their issue date?** Yes**Were any of the +securities issued to +key management personnel (KMP) or an +associate?** Yes**Provide details of the KMP or +associates being issued +securities.****Name of KMP**

Jonathan Fievez

**Name of registered holder**

Paula Fievez &lt;The Regeneration A/C&gt;

**Number of +securities**

150,000,000

**Have you received confirmation from ASX that the terms of the +securities are appropriate and equitable under listing rule 6.1?** No**Please provide a URL link for a document lodged with ASX setting out the material terms of the +securities being issued.**<https://www.asx.com.au/asxpdf/20211013/pdf/451m8z5pzxq083.pdf>

## Options Details

**+Security currency**

AUD - Australian Dollar

**Exercise price**

AUD 0.00360000

**Expiry date**

13/10/2024

**Details of the existing class of +security that will be issued upon exercise or conversion of this new class of company option**

Other

**Description**

Ordinary Fully Paid Shares



**Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms**

<https://www.carnegiece.com/wp-content/uploads/2020/11/200721-CCE-Employee-Incentive-Plan-1.pdf>

**Any other information the entity wishes to provide about the +securities the subject of this notification**

Issue details

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**Number of +securities**

150,000,000

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## Part 4 - +Securities on issue

Following the issue, conversion or payment up of the +securities the subject of this application, the +securities of the entity will comprise:  
(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)

## 4.1 Quoted +Securities (Total number of each +class of +securities quoted)

ASX +security code and description	Total number of +securities on issue
CCE : ORDINARY FULLY PAID	14,902,573,710

## 4.2 Unquoted +Securities (Total number of each +class of +securities issued but not quoted on ASX)

ASX +security code and description	Total number of +securities on issue
CCEAQ : OPTION EXPIRING 03-FEB-2024 EX \$0.0015	520,000,000
CCEAK : OPTION EXPIRING 8-FEB-2023 EX 6C	35,000,000
CCEAO : OPTION EXPIRING 20-JUL-2022 EX 0.2C	175,500,000
CCEAR : OPTION EXPIRING 24-FEB-2024 EX \$0.0015	600,000,000
CCEAP : OPTION EXPIRING 12-JAN-2024 EX \$0.0015	200,000,000
CCEAM : OPTION EXPIRING 28-OCT-2022 EX 0.15C	1,400,000,000
CCEAN : OPTION EXPIRING 28-OCT-2024 EX 0.125C	250,000,000
CCEAU : OPTION EXPIRING 15-SEP-2023 EX \$0.0036	16,000,000
CCEAS : OPTION EXPIRING 25-NOV-2022 EX \$0.003	85,000,000
CCEAT : OPTION EXPIRING 24-MAR-2024 EX \$0.0015	860,000,000
New class - code to be confirmed : Unlisted Options	150,000,000



Part 5 - Other Listing Rule requirements

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**5.1 Were the +securities issued under an exception in Listing Rule 7.2 and therefore the issue did not need any security holder approval under Listing Rule 7.1?**

Yes

**5.1a Select the number of the applicable exception in Listing Rule 7.2**

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